

| FOREIGN PATENT DOCUMENTS |                       |   |                                |  |   |                |
|--------------------------|-----------------------|---|--------------------------------|--|---|----------------|
| Examiner Initials*       | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant Passages<br>or Relevant<br>Figures Appear | T <sup>6</sup> |
|                          |                       | Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known) |                                |  |   |                |
| /IP/                     | FP1                   | WO 01/60783 A1  | 08/23/2001                     | Ishihara Sangyo Kaisha,<br>Ltd.                    |   | Abs            |
|                          | FP2                   | WO 02/051820 A1   | 07/04/2002                     | Ono Pharmaceutical Co.,<br>Ltd.                    |   | Abs            |
|                          | FP3                   | WO 02/053543 A1   | 07/11/2002                     | Shionogi & Co., Ltd.                               |   | Abs            |
|                          | FP4                   | WO 02/059077 A1   | 08/01/2002                     | Takeda Chemical<br>Industries, Ltd.                |   | Abs            |
| /IP/                     | FP5                   | EP 0 405 537 B1   | 09/08/2004                     | Warner-Lambert Company<br>LLC                      |   |                |
|                          |                       |   |                                |  |   |                |

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| Examiner<br>Signature | /Ileana Popa/ | Date<br>Considered | 08/14/2007 |
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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |                          |  |   | Application Number       | 10/614,116           |
|   |                          |  |   | Filing Date              | July 3, 2003         |
|   |                          |  |   | First Named Inventor     | TICE, Colin M.       |
|   |                          |  |   | Art Unit                 | 1633                 |
|   |                          |  |   | Examiner Name            | Popa, Ileana         |
| Sheet   | 1                        | of   | 3 | Attorney Docket Number   | 2584.0020001/RWE/RAS |
| <b>NON PATENT LITERATURE DOCUMENTS</b>  |                          |  |   |                          |                      |
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| /IP/  | NPL1                     | Aumann, R., and Heinen, H., "(C-Amino)ketenimine, 2-Imidazolin-5-one and $\alpha$ -Aminosäureamide aus (Aminocarben)chromcomplexen und Isocyaniden," <i>Chem. Ber.</i> 122:1139-1145, VHC Verlagsgesellschaft mbH (1989)   |   |                          | Abs                  |
|   | NPL2                     | Awad, W.I., <i>et al.</i> , "Studies on 2-Phenyl-4-Arlydene-5-Oxazolones," <i>Tetrahedron</i> 20:891-896, Pergamon Press Ltd. (1964)   |   |                          |                      |
|   | NPL3                     | Choong, I.C., <i>et al.</i> , "Identification of Potent and Selective Small-Molecule Inhibitors of Caspase-3 through the Use of Extended Tethering and Structure-Based Drug Design," <i>J. Med. Chem.</i> 45:5005-5022, American Chemical Society (November 2002)  |   |                          |                      |
|   | NPL4                     | El'kinson R.S. and Ereemeev, A.V., "Reactions of Azirines with Sulfur Nucleophiles. 4. Treatment of 2H-Azirine With Mercaptosubstituted Acids. Reactions of Aziridinyl Alkyl Sulfides With Carboxylic Acids and Acyl Chloride Derivatives," <i>Chem. Heterocycl. Compd.</i> 22:161-166, Plenum Publishing Corporation (1986) |   |                          |                      |
|   | NPL5                     | Goujon, J-Y., and Shipman, M., "Concise route to $\alpha$ -acylamino- $\beta$ -keto amides: application to the synthesis of a simplified azinomycin A analogue," <i>Tetrahedron Lett.</i> 43:9573-9576, Elsevier Science Ltd. (Dec 2002)   |   |                          |                      |
|   | NPL6                     | Huebner, C.F., and Scholz, C.R., "The Synthesis of Cholramphenicol Analogs," <i>J. Am. Chem. Soc.</i> 73:2089-2094, American Chemical Society (1951)   |   |                          |                      |
|   | NPL7                     | Lachicotte, R.J., <i>et al.</i> , "C-Acylation of Hippurate and Related Esters Utilizing Multiple Anion Intermediate and Ethyl 4-Aminobenzoate," <i>Synth. Commun.</i> 20:63-69, Marcel Dekker, Inc. (1990)  |   |                          |                      |
|   | NPL8                     | Liu, J., <i>et al.</i> , "Improved syntheses of $\alpha$ -BOC-aminoketones from $\alpha$ -BOC-amino-Weinreb amides using a pre-deprotonation protocol," <i>Tetrahedron Lett.</i> 43:8223-8226, Elsevier Science Ltd. (Nov 2002)  |   |                          |                      |
| /IP/  | NPL9                     | MacPherson, E.J. and Smith J.G., "Alkali metal adducts of benzophenone azine. I. The sodium and potassium adducts," <i>Can. J. Chem.</i> 48:1904-1914, NRC Research Press (1969)   |   |                          |                      |

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| /IP/                            | NPL10                 | Mizuno, H., <i>et al.</i> , "Stereochemical Studies. V11: Thermal Rearrangement of $\alpha$ -Hydroxyimines to $\alpha$ -Aminoketones using optically Active Open Chain Compounds," <i>Chem. Pharm. Bull.</i> 71:227-246, Pharmaceutical Society of Japan (1971)                            |  |  |                |
|                                 | NPL11                 | Ooi, T., <i>et al.</i> , "Asymmetric Induction in the Neber Rearrangement of Simple Ketoxime Sulfonates under Phase-Transfer Conditions: Experimental Evidence for the Participation of an Anionic Pathway," <i>J. Am. Chem. Soc.</i> 124:7640-7641, American Chemical Society (June 2002) |  |  |                |
|                                 | NPL12                 | Pines, H.S., <i>et al.</i> , "3-Aryl-2-methylserines. I. A New Synthesis," <i>J.Org. Chem.</i> 33:1758-1761, American Chemical Society (1968)  |  |  |                |
|                                 | NPL13                 | Pines, S.H., and Sletzinger, M., "3-Aryl-2-Methylserines III. Synthesis <u>via</u> Alanine Azlactone," <i>Tetrahedron Lett.</i> 9:727-728, Pergamon Press (1969)   |  |  |                |
|                                 | NPL14                 | Pines, S.H., <i>et al.</i> , "3-Aryl-2-methylserines. II. Inversions at Carbon 3," <i>J. Org. Chem.</i> 33:1762-1767, American Chemical Society (1968)   |  |  |                |
|                                 | NPL15                 | Siedel, W and Nahm, H, "Über die Hydrierung von $\alpha$ -Benzoyl- <i>N</i> -acyl-serin-benzylestern," <i>Chem. Ber.</i> 88:900-911, VHC Verlagsgesellschaft mbH (1955)  |  |  | Abs            |
|                                 | NPL16                 | Subramanyam, C., and Chang, S.P., "Solid-Phase synthesis of peptidyl $\alpha$ -keto heterocycles," <i>Tetrahedron Lett.</i> 43:6313-6315, Elsevier Science Ltd. (Sept 2002)  |  |  |                |
|                                 | NPL17                 | Tamaru, Y., <i>et al.</i> , "Sulfinate As Cocatalyst 3: Palladium Catalyzed Dimerization of Butadiene with Acylamino Ketones," <i>Tetrahedron Lett.</i> 21:3791-3794, Pergamon Press Ltd. (1980)   |  |  |                |
| /IP/                            | NPL18                 | Van Der Meer, S., <i>et al.</i> , "Chloramphenicol Analogues I DL-2-Dichloroacetamido-1-(4-pyridyl)-1,3-propanediol," <i>Recl. Trav. Chim. Pays-Bas</i> 72:236-246, Société chimique neerlandaise (1953)   |  |  |                |

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| /IP/  | NPL19                    | STNEasy Database, Accession No.1989:422724, English language abstract for Aumann, R., and Heinen, H., "(C-Amino)ketenimine, 2-Imidazolin-5-one and $\alpha$ -Aminosäureamide aus (Aminocarben)chromkomplexen und Isocyaniden," <i>Chem. Ber.</i> 122:1139-1145, VHC Verlagsgesellschaft mbH (1989) |   |                          |                      |
| /IP/  | NPL20                    | STN Easy Database, Accession No. 1956:40246, English language abstract for Sidel, W and Nahm, H, "Über die Hydrierung von $\alpha$ -Benzoyl-N-acyl-serin-benzylestern," <i>Chem. Ber.</i> 88:900-911, VHC Verlagsgesellschaft mbH (1955)   |   |                          |                      |
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| /IP/               | NPL1                  | Aumann, R., and Heinen, H., "(C-Amino)ketenimine, 2-Imidazolin-5-one and $\alpha$ -Aminosäureamide aus (Aminocarben)chromkomplexen und Isocyaniden," <i>Chem. Ber.</i> 122:1139-1145, VHC Verlagsgesellschaft mbH (1989)   | Abs            |
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|                    | NPL5                  | Goujon, J-Y., and Shipman, M., "Concise route to $\alpha$ -acylamino- $\beta$ -keto amides: application to the synthesis of a simplified azinomycin A analogue," <i>Tetrahedron Lett.</i> 43:9573-9576, Elsevier Science Ltd. (Dec 2002)   |                |
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| /IP/               | NPL9                  | MacPherson, E.J. and Smith J.G., "Alkali metal adducts of benzophenone azine. I. The sodium and potassium adducts," <i>Can. J. Chem.</i> 48:1904-1914, NRC Research Press (1969)   |                |

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|                                 | NPL15                 | Siedel, W and Nahm, H, "Über die Hydrierung von $\alpha$ -Benzoyl-N-acyl-serin-benzylestern," <i>Chem. Ber.</i> 88:900-911, VHC Verlagsgesellschaft mbH (1955)   |  | Abs |
|                                 | NPL16                 | Subramanyam, C., and Chang, S.P., "Solid-Phase synthesis of peptidyl $\alpha$ -keto heterocycles," <i>Tetrahedron Lett.</i> 43:6313-6315, Elsevier Science Ltd. (Sept 2002)  |  |     |
|                                 | NPL17                 | Tamaru, Y., <i>et al.</i> , "Sulfinate As Cocatalyst 3: Palladium Catalyzed Dimerization of Butadiene with Acylamino Ketones," <i>Tetrahedron Lett.</i> 21:3791-3794, Pergamon Press Ltd. (1980)   |  |     |
| /IP/                            | NPL18                 | Van Der Meer, S., <i>et al.</i> , "Chloramphenicol Analogues I DL-2-Dichloroacetamido-1-(4-pyridyl)-1,3-propanediol," <i>Recl. Trav. Chim. Pays-Bas</i> 72:236-246, Société chimique neerlandaise (1953)   |  |     |

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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |                          |  |   | Application Number       | 10/614,116           |
|   |                          |  |   | Filing Date              | July 3, 2003         |
|   |                          |  |   | First Named Inventor     | TICE, Colin M.       |
|   |                          |  |   | Art Unit                 | 1633                 |
|   |                          |  |   | Examiner Name            | Popa, Ileana         |
| Sheet   | 3                        | of   | 3 | Attorney Docket Number   | 2584.0020001/RWE/RAS |
| <b>NON PATENT LITERATURE DOCUMENTS</b>  |                          |  |   |                          |                      |
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| /IP/  | NPL19                    | STNEasy Database, Accession No.1989:422724, English language abstract for Aumann, R., and Heinen, H., "(C-Amino)ketenimine, 2-Imidazolin-5-one and $\alpha$ -Aminosäureamide aus (Aminocarben)chromkomplexen und Isocyaniden," <i>Chem. Ber.</i> 122:1139-1145, VHC Verlagsgesellschaft mbH (1989) |   |                          |                      |
| /IP/  | NPL20                    | STN Easy Database, Accession No. 1956:40246, English language abstract for Sidel, W and Nahm, H, "Über die Hydrierung von $\alpha$ -Benzoyl-N-acyl-serin-benzylestern," <i>Chem. Ber.</i> 88:900-911, VHC Verlagsgesellschaft mbH (1955)   |   |                          |                      |
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| Examiner<br>Signature | /Ileana Popa/ | Date<br>Considered | 08/14/2007 |
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